

Nelson Galvanizing Site

1991 Response to EPA Request for Information

340479



MAR 22 1991

Christy

Nelson Galvanizing, Inc.
11-02 Broadway
Long Island City, N.Y. 11106

March 22, 1991

BY HAND

Ms. Anne Kelly
Compliance Officer
Hazardous Waste Compliance Branch
U.S. Environmental Protection Agency
Region II
26 Federal Plaza, Room 1121
New York, N.Y. 10278

Re: Request for Information under § 3007
of RCRA and § 104(e) of CERCLA
Nelson Galvanizing, Inc. facility
EPA ID Number: NYDOO1229350

Dear Ms. Kelly:

Following are the answers to your request for information, in numbered order.

1. a. Nelson Galvanizing, Inc.

Nelson Foundry, Inc.

b. Nelson Galvanizing, Inc. and Nelson Foundry, Inc. were incorporated in New York. Nelson Galvanizing, Inc.'s certificate of incorporation was filed with the Department of State of the State of New York on November 19, 1947. Nelson Foundry, Inc.'s certificate of incorporation was filed with the Department of State of the State of New York on January 4,

Ms. Anne Kelly

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March 22, 1991

1943. The agent for service of process of both corporations is the Secretary of State of New York. Copies of the requested documents are enclosed.

c. (1) Nelson Galvanizing, Inc.

Chairman - John T. Sweeney, Jr.

President - John T. Sweeney, Jr.

Secretary - John T. Sweeney, Jr.

Treasurer - Robert M. Sweeney

The company's directors and stockholders are John T. Sweeney, Jr. and Robert M. Sweeney. The duties and functions of John T. Sweeney, Jr. and Robert M. Sweeney are to run the company, with John T. Sweeney, Jr. focusing on operations and Robert M. Sweeney focusing on administration.

(2) Nelson Foundry, Inc.

Chairman - John T. Sweeney, Jr.

President - John T. Sweeney, Jr.

Secretary - Robert M. Sweeney

Treasurer - John T. Sweeney, Jr.

Ms. Anne Kelly

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March 22, 1991

The company's stockholders and directors are John T. Sweeney, Jr. and Robert M. Sweeney. The duties and functions of John T. Sweeney and Robert M. Sweeney are to run the company.

John and Robert Sweeney's addresses are as follows:

John T. Sweeney, Jr.
1634 Belmont Avenue
New Hyde Park, NY 11040

Robert M. Sweeney
1385 York Avenue
New York, NY 10028

d. Neither Nelson Galvanizing, Inc. nor Nelson Foundry, Inc. has any parent, subsidiary or affiliated corporations.

e. Neither company is a successor to another company.

f. SIC #3479.

2. a. Nelson Foundry, Inc. (deed enclosed).

b. Nelson Galvanizing, Inc. currently uses the facility under an oral agreement with Nelson Foundry, Inc., which obligates Nelson Galvanizing, Inc. to pay the costs associated with the property, such as real estate taxes. There is no written lease, nor have we been able to locate any other documentation or memoranda relevant to this agreement.

c. There are no such prior users.

3. Nelson Foundry, Inc. owns the real estate at the Facility. It conducts no business at any location other than the Facility. Nelson Galvanizing, Inc. is in the business of applying a zinc coating to steel products manufactured by its customers. It conducts business at no location other than the Facility.

4. Processes Used:

Cleaning - caustic soda (sodium hydroxide) - approximately 200 lbs. per month, used to remove paint or heavy rust. Acid (sulfuric acid - 5% acid 95% water), heated to approximately 160° - approximately 150 gallons per month used to remove rust and scale (ferric and ferrous oxides).

Fluxing - a solution of zinc ammonium chloride and water to a baume of 16° is used as a pre flux to prevent oxidation of the steel after it is cleaned and before it is galvanized. A molten top flux of zinc ammonium chloride is used to further deoxidize the steel as it enters the zinc bath. Combined usage, approx. 3000 lbs. per month.

Galvanizing - actual immersion of steel articles into molten zinc in order to prevent rusting of the steel substrates. Zinc usage approx. 40,000 lbs. per month.

Please note that the quantities described above, like the quantities described throughout this document, are approximations.

No chemicals are employed in maintenance or waste management procedures at the Facility.

5. Previous Process: the use of hydrofluoric acid to clean cast iron (remove sand from the castings) was discontinued prior to 1989. Approx. use - 50 gallons per year.

6. We are uncertain whether any of the materials handled at the facility meet the legal definition of hazardous

waste. The materials that we believe may fit this definition are: Iron Sulfate crystals, which have been generated at approx. 2000 lbs. per month (but we have found a buyer for this material, so we are not sure whether it is properly characterized as waste); and spent sulfuric acid, which has been disposed of at a rate of approx. 10,000 gallons a year (but we are investigating whether this spent sulfuric acid can be used to make virgin sulfuric acid). Nelson Galvanizing, Inc. has the U.S. EPA generator identification No. NYDOO1229350.

7. The procedure for determining whether material is to be treated as hazardous is to determine where it is coming from or by chemical analysis or both. Laboratory tests have been run prior to disposal. Enclosed are copies of analyses run on the sulfuric acid and the iron sulfate crystals.

8. Iron sulfate crystals are generated as a result of iron and sulfur molecules combining in the acid cleaning bath and precipitating out of the acid solution. Crystals are currently stored in polyethylene drums and a steel tank approximately 2' x 6' x 24'. We have not disposed of any of this material. We have located a buyer for this material, which is generated at the rate of approximately 2,000 lbs/month (New Jersey Muniera-Clarkesville, Tennessee). Spent sulfuric acid, which, as noted above, is used to clean steel, has been

disposed of by shipping it to Chemical Waste Management in Vickery, Ohio (via Chemical Waste Management) or to Chemical Pollution Control Inc. in Bay Shore, New York (via RGM Liquid Waste Removal Corp.). This acid recently has been generated at the rate of approximately 10,000 gallons/year.

9. The hazardous waste manifests are enclosed. The EPA ID numbers for the transporters and the disposal facilities are shown on the manifests.

10. Spent materials were generated between January 1985 and December 1990. Spent sulfuric acid and iron sulfate crystals were generated. The quantity of these materials that were generated over that time period is unknown. Since 1988, the iron sulfate crystals were placed in drums and a steel tank and retained on site and the sulfuric acid has been disposed of in bulk. Prior to 1988, these spent materials were neutralized and disposed of via the sewer system.

11. Iron sulfate crystals are stored in 55 gallon polyethylene drums and a steel tank. Liquid is stored in polyethylene bulk tanks and in plastic drums. Inspection of drums and tanks is done by maintenance foreman Moses Bailey who inspects them visually on a regular basis. No inspection logs were maintained.

12. Spent sulfuric acid has been shipped from the Facility for off-site disposal. The hazardous waste manifests are enclosed in response to question 9. The waste analysis profiles are enclosed in response to question 7.

13. The materials handled at the Facility that we believe may be hazardous waste are sulfuric acid and iron sulfate crystals. The wastes that have been manifested are identified on the manifests as sulfuric acid solution (D002) and "hazardous waste solid" (D008). One of the manifests also uses codes D007, D008 and D010.

14. The only EPA ID number applied for by either company is the number provided in response to question 6. No other permits or authorizations to handle hazardous waste have been sought.

15. As noted above, we handle materials that we believe may fit the legal definition of hazardous waste.

16. a. Portions of the Facility are used to store drums and tanks, and thus would appear to be Solid Waste Management Units ("SWMUs"). Those areas are shown on the Site layout which is provided in response to question 31.

b. Those SWMUs are being used as shown until implementation of the cleanup required by the recently executed Administrative Order on Consent for the Facility.

c. These areas are themselves unchanged; there was, however, a certain amount of reorganization of the location of drums in February 1991.

d. The SWMUs are visually inspected on a regular basis by Moses Bailey.

e. Planned changes in the containment and/or control system described above include the creation of a system of secondary containment, improved labeling and the creation of a spill prevention and control and counter measure plan; the inspection and monitoring procedures are as described above.

f. We have never attempted to measure the capacity of these SWMUs, which are simply areas within the Facility. There are no empty SWMUs.

g. No SWMU is inactive, nor has any been removed.

h. The inspection procedures are as described in response to question 16.d.

17. The process tanks are not cleaned at fixed time intervals. The need to clean a tank is determined by the acceptability of the cleaning capacity of the tank. The methods used to clean tanks are several:

a. Several drums of acid solution are siphoned off, allowed to cool in order to precipitate crystals of solution, then crystals are shoveled into drums and free liquid is pumped back into the tank.

b. The entire tank is allowed to cool, a vacuum pump truck is used to pump liquid out after crystals have precipitated. Crystals are then shoveled into drums and liquid is pumped back into tank.

c. The entire contents of the tank is pumped out and removed to an approved disposal site.

When the first two procedures are employed, nothing is removed from the Facility since the iron sulfate crystals, as noted above, have not been disposed of. When the third procedure has been employed, we have shipped the solution as

indicated, and on or about the date indicated, in the manifests provided in response to question 9.

18. a. The area referred to as the trench is the result of the removal of overflow pipeline running perpendicular to the old cleaning tanks.

b. The pipes in the trench were originally installed in 1954 and removed some time in the 1960s.

c. The approximate size of trench was 2'x2'x50'. No construction material was used to create a trench.

d. No material was intentionally placed, stored, processed or treated in the trench. Liquid did accumulate in the trench from a faulty roof drain pipe and apparently as a result of seepage from the old concrete cleaning tanks.

e. Liquid was pumped out of the trench by Chemical Pollution Control Inc. and disposed of by them on 12/13/88. The soil in the trench area was placed in plastic-lined, steel, 55-gallon drums and stored with other soil that was excavated prior to the laying of concrete pads under the new cleaning tanks. That soil remains in the Facility.

19. The soil noted in answer to question 18.e. and the soil removed prior to laying concrete pads under the new cleaning tanks is stored in plastic-lined, steel, 55-gallon drums and bins. Soil was excavated over a six month period in 1989 and 1990. There are approximately 60 55-gallon drums of excavated soil stored on site. Soil analyses are enclosed.

20. The company expects to be able to sell the iron sulfate crystals, as has been described above (see number 8). We are currently investigating whether the spent sulfuric acid can be reused, as was noted above (see number 6) but have not, in the past, found a way to reuse this material.

21. In the past zinc skimmings and flux (zinc ammonium chloride), have been sold to Dupont Co. in Cleveland, Ohio. More recently these materials and dross have been sold to Metal Chem. Co. in Pittsburgh, PA, which has paid prices for this material that vary with time. A document showing recent sales (on page 2) is enclosed.

22. We are not aware of any spills, releases or discharges of hazardous waste and/or chemicals to the environment. Chemicals may have leaked from the old concrete process tanks at the Facility which were removed about two years ago,

but we do not know whether they did, and if so, when, which chemicals, or in what amounts.

23. A contingency plan is now being developed in connection with the implementation of the Administrative Order on Consent recently issued for this Facility. The Facility has a hazard communications training program, a copy of which is enclosed

24. There is no such correspondence, but the Fire Department makes regular inspections of the Facility.

25. Yes, see attached lab results.

26. No, other than the lab tests the results of which are enclosed.

27. It is the responsibility of the foreman to oversee the handling and management of hazardous materials. Moses Bailey, foreman, and Lionel Joseph, foreman, are Fire Department trained and tested and certified in the handling of hazardous chemicals.

28. No.

29. No. No waste water is discharged.

30. We are not aware of any other sources who generated, transported or disposed hazardous wastes or substances at or from the Facility.

31. The requested plan is enclosed.

32. We are in the process of compiling the requested information and copies, and expect to be able to submit them shortly.

33. No such agreement or contract exists.

34. The requested tax returns (except for a third year for each individual, which we are in the process of obtaining) and statement are enclosed.

35. Metcalf & Eddy of New York, Inc. 303 South Broadway - Suite 318N, Tarrytown, N.Y. 10591 - (914) 631-7273, and particularly William Deininger, are in the process of acquiring knowledge about the materials at the Facility, in connection with the Administrative Order on Consent recently issued for this Facility.

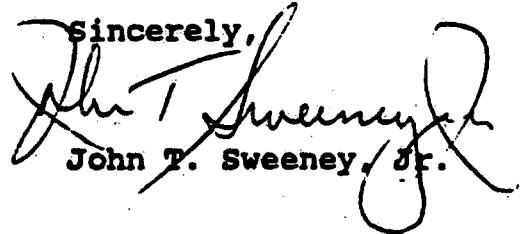
Ms. Anne Kelly

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March 22, 1991

36. John T. Sweeney, Jr., whose positions are described in response number 1.c., prepared these responses.

Sincerely,

A handwritten signature in dark ink, appearing to read "John T. Sweeney, Jr.", with a large, stylized flourish at the end.

John T. Sweeney, Jr.

Enclosures

cc (w/encl.): Michael Mintzer, Esq.
Office of Regional Counsel
NY/Caribbean Superfund Branch
U.S. Environmental Protection
Agency, Region II
26 Federal Plaza, Room 437AA
New York, New York 10278

8060:035

CERTIFICATION OF ANSWERS TO REQUEST FOR INFORMATION

State of New York

County of New York

I certify under penalty of law that I have personally examined and am familiar with the information submitted in this document (response to EPA Request for Information) and all documents submitted herewith, and that based on my inquiry of those individuals immediately responsible for obtaining the information, I believe that the submitted information is true, accurate, and complete, and that all documents submitted herewith are complete and authentic unless otherwise indicated. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment.

John T. Sweeney, Jr.
NAME (print or type)

President - Nelson Galvanizing, Inc. and Nelson
TITLE (print or type) Foundry, Inc.

John T. Sweeney, Jr.
SIGNATURE

Sworn to me before this

22nd day of March, 1991

Step J. Ritchin
Notary Public

STEPHEN J. RITCHIN
Notary Public, State of New York
No. 433350
Qualified in New York County
Commission Expires Nov. 30, 1991

Nelson Galvanizing Site

**Financial Statement for Corporate Debtor to be Used to Complete
Question number 13 for Nelson Galvanizing, Inc. and Nelson
Foundry, Inc.**

**Financial Data Request Form to be Used to Complete Question
number 15 for John T. Sweeney**

1. Name (Debtor) _____ Type (1-For Profit ()) (2-Not for Profit ())

2. Business Addresses _____
Street City State

Note: Attach schedule of all business addresses

3. Foreign _____ Domestic _____

4. State-Incorporation _____ Date-Incorporation _____
License to do business in _____

5. Name Registered Agent _____

6. Address Registered Agent _____ Phone _____

7. Names and Addresses of Principal Stockholders. Indicate the ownership of 75% of the stock of the Corporation. Number of Shares Owned by each.

- (1) _____
- (2) _____
- (3) _____
- (4) _____
- (5) _____
- (6) _____
- (7) _____
- (8) _____

8. (A) Names and Addresses of Current Officers and Number of Shares Held by Each. Term Expires on _____)

- (1) _____
- (2) _____
- (3) _____
- (4) _____
- (5) _____

(B) Names and Addresses of Current Members of Board of Directors. Term Expires on _____

- (1) _____
- (2) _____

- (3) _____
(4) _____
(5) _____

9. (A) Registration on National or Local Stock Exchange(s). (Give details, including date of Registration and/or delisting.)

- (1) _____
(2) _____
(3) _____

(B) Total authorized Shares of each type issued and present market value per share on each type of stock.

- (1) _____
(2) _____
(3) _____
(4) _____

(C) Total outstanding shares of each type stock currently being held as Treasury Stock.

(D) Total outstanding shares of each type of stock. Amount of bonded debt and principal bondholders.

10. List States and Municipalities to which taxes have been paid and/or are being paid. Describe nature and amount of such taxes, state most recent year of payment thereof and whether tax payments are current.

11. Has this Corporation filed United States Corporate Income Tax Returns during the last 3 years. Yes. () No. ()
To What I.R.S. Office(s) _____
What Years? _____

Are Federal Taxes Current? Yes. () No. ()

12. Name and Address of
(a) Corporation's Independent Certified Public Accountants

(b) Corporate Attorney(s) retained by Corporation From _____ to _____

13. Does this Corporation have a Profit and Loss Statement and Balance Sheet for the most recent calendar or fiscal year and for specified past years. Past Years () () (). If (Yes) submit one copy of each. Submit audited documents if available.

14. Does this Corporation maintain bank accounts: Give names and addresses of Banks, Savings and Loan Associations, and other such entities, within the United States or located elsewhere. Indicate name and number of accounts and balances.

Balance

(A) Checking Account(s)

(B) Savings Account(s)

(C) Other Account(s)

(D) Savings & Loan Associations or Other such Entities

(E) Trust Account(s)

(F) Other Account(s)

15. List all commercial paper, negotiable or non-negotiable, in which the Corporation has any interest whatsoever, presently in transit or in the possession of any banking institution. Describe such paper and the Corporation's interest therein, and state its present location. List all accounts and loans receivable in excess of \$300 and specify if due from an officer, stockholder, or director.

16. From personal knowledge as President, Vice President, or Chairman of the Board for the last taxable year, indicate in round figures:

(A) Gross Income \$ _____

(B) Expenses (*Fixed & Current*) \$ _____

(C) Gross Profit (*or Loss*) \$ _____

(D) Net Profit After Taxes \$ _____

(E) (*List approximate totals*):

Payables: \$ _____ Receivables: \$ _____

17. Is this Corporation presently:

(A) Active
(*Answer No if inactive but still in existence*) Yes () No ()

(B) Void and/or Terminated by State authority Yes () No ()

(C) Otherwise dissolved Yes () No ()

1. Date _____

2. By whom _____

3. Reason _____

18. List corporate salaries to and/or drawings of the following personnel for the last three taxable years:

Position (<i>Inc. officers</i>)	Specify Year		
	1. (Year)	2. (Year)	3. (Year)
President _____			
Chairman/Board _____			
Secretary _____			
Treasurer _____			

(B) List five most highly compensated employees or officers other than above, describe position and set forth salary and/or bonus for last three taxable years:

Specify Year			
	(Year)	(Year)	(Year)
1.	2.	3.	
1. _____			
2. _____			
3. _____			
4. _____			
5. _____			

(C) Describe the nature of the compensation paid to the persons listed in (A) and (B) above and set forth any stock options, pensions, profit sharing, royalties, or other deferred compensation rights of said persons.

19. List Corporate commercial activity (fields of activity resulting in income) Prime:

1. _____
2. _____
3. _____
4. _____

20. List all other supplementary fields of activity in which this Corporation is engaged, either directly, through subsidiaries, or affiliates, stating the name(s) and state(s) of incorporation of such subsidiaries or affiliates.

21. Has this Corporation at any time been the subject of any proceeding under the provisions of any State Insolvency Law, or the Federal Bankruptcy Act, As Amended? If so, supply the following information as to each such proceeding:

(A) Date (*Commencement*) _____

(B) Date (*Termination*) _____

(C) Discharge or other disposition, if any, and operative effect thereof: _____

(D) State Court _____ County _____ Federal Court _____ District _____

(E) Docket No. _____

22. (A) List all Real Estate, and Personalty of an estimated value in excess of \$500.00, owned or under contract to be purchased by this Corporation and where located:

(B) List and describe all judgments, recorded and unrecorded:

(1) Against the Corporation

(2) In favor of the Corporation

(C) List and describe all other encumbrances against Real Estate owned by the Corporation: *(including but not limited to mortgages, recorded or unrecorded)*:

(D) List and describe all other encumbrances *(including but not limited to Security Interest, whether perfected or not)* against any such personalty owned by the Corporation as is listed in 22(A) above.

(E) List and describe location of Real Estate, including Real Estate being purchased under contract, with name and address of Seller and contract price:

23. List all Life insurance, now in force on any or all officers, Directors, and/or "key" employees, setting forth face amounts, names of life insurance companies and policy numbers where this Corporation has an "insurable interest," and/or is paying the premium or part of same. Where applicable indicate under which policy(s) this Corporation is a Beneficiary, type policy(s), yearly premium, and location of policy(s).

24. List all transfers of any or all assets (*Real*) or/and (*Personal*) and each (*over \$300.00*) made by this Corporation, OTHER THAN IN THE ORDINARY COURSE OF BUSINESS, during the last three (3) calendar years and state to whom transfer was made. Describe compensation paid by recipient and to whom.

25. Is this Corporation a party in any law suit now pending? () Yes (*Give details below.*) () No

26. Please list names and addresses of any person or other business entity, holding funds in escrow or in trust for this Corporation, or any of its subsidiaries or affiliates.

27. Additional Remarks:

28. Verification and Affidavit.

With knowledge of the penalties for false statements provided by 18 U.S. Code 1001 (*\$10,000 fine and/or 5 years imprisonment*) and with knowledge that this financial statement is submitted by me as a responsible officer of this Corporation to affect action by the U.S. Department of Justice, I hereby certify that I believe I completely understand the above statement, and that the same is a true and complete statement of all corporate income and assets, real and personal, whether held in the Corporate name or otherwise.

Date: _____

AFFIANT (*Officer*)

(*List Corporate Position*)

Age (*Next Birthday*)

NOTARY PUBLIC

My Commission Expires _____

1. Name (Debtor) _____ Type (1-For Profit ()) (2-Not for Profit ())

2. Business Addresses _____
Street City State

Note: Attach schedule of all business addresses

3. Foreign _____ Domestic _____

4. State-Incorporation _____ Date-Incorporation _____
License to do business in _____

5. Name Registered Agent _____

6. Address Registered Agent _____ Phone _____

7. Names and Addresses of Principal Stockholders. Indicate the ownership of 75% of the stock of the Corporation. Number of Shares Owned by each.

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(2) _____

(3) _____

(4) _____

(5) _____

(6) _____

(7) _____

(8) _____

8. (A) Names and Addresses of Current Officers and Number of Shares Held by Each. Term Expires on _____)

(1) _____

(2) _____

(3) _____

(4) _____

(5) _____

(B) Names and Addresses of Current Members of Board of Directors. Term Expires on _____

(1) _____

(2) _____

- (3) _____
- (4) _____
- (5) _____

9. (A) Registration on National or Local Stock Exchange(s). (Give details, including date of Registration and/or delisting.)

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- (2) _____
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(B) Total authorized Shares of each type issued and present market value per share on each type of stock.

- (1) _____
- (2) _____
- (3) _____
- (4) _____

(C) Total outstanding shares of each type stock currently being held as Treasury Stock.

(D) Total outstanding shares of each type of stock. Amount of bonded debt and principal bondholders.

10. List States and Municipalities to which taxes have been paid and/or are being paid. Describe nature and amount of such taxes, state most recent year of payment thereof and whether tax payments are current.

11. Has this Corporation filed United States Corporate Income Tax Returns during the last 3 years. Yes. () No. ()
To What I.R.S. Office(s) _____
What Years? _____

Are Federal Taxes Current? Yes. () No. ()

12. Name and Address of
(a) Corporation's Independent Certified Public Accountants

(b) Corporate Attorney(s) retained by Corporation From _____ to _____

13. Does this Corporation have a Profit and Loss Statement and Balance Sheet for the most recent calendar or fiscal year and for specified past years. Past Years () () (). If (Yes) submit one copy of each. Submit audited documents if available.

14. Does this Corporation maintain bank accounts: Give names and addresses of Banks, Savings and Loan Associations, and other such entities, within the United States or located elsewhere. Indicate name and number of accounts and balances.

Balance

(A) Checking Account(s)

(B) Savings Account(s)

(C) Other Account(s)

(D) Savings & Loan Associations or Other such Entities

(E) Trust Account(s)

(F) Other Account(s)

15. List all commercial paper, negotiable or non-negotiable, in which the Corporation has any interest whatsoever, presently in transit or in the possession of any banking institution. Describe such paper and the Corporation's interest therein, and state its present location. List all accounts and loans receivable in excess of \$300 and specify if due from an officer, stockholder, or director.

16. From personal knowledge as President, Vice President, or Chairman of the Board for the last taxable year, indicate in round figures:

(A) Gross Income \$ _____

(B) Expenses (Fixed & Current) \$ _____

(C) Gross Profit (or Loss) \$ _____

(D) Net Profit After Taxes \$ _____

(E) (List approximate totals):

Payables: \$ _____ Receivables: \$ _____

17. Is this Corporation presently:

(A) Active Yes () No ()
 (Answer No if inactive but still in existence)

(B) Void and/or Terminated by State authority Yes () No ()

(C) Otherwise dissolved Yes () No ()

1. Date _____

2. By whom _____

3. Reason _____

18. List corporate salaries to and/or drawings of the following personnel for the last three taxable years:

Position (Inc. officers)	Specify Year		
	(Year)	(Year)	(Year)
	1.	2.	3.
President _____			
Chairman/Board _____			
Secretary _____			
Treasurer _____			

(B) List five most highly compensated employees or officers other than above, describe position and set forth salary and/or bonus for last three taxable years:

	Specify Year		
	(Year)	(Year)	(Year)
1.	1.	2.	3.
1. _____			
2. _____			
3. _____			
4. _____			
5. _____			

(C) Describe the nature of the compensation paid to the persons listed in (A) and (B) above and set forth any stock options, pensions, profit sharing, royalties, or other deferred compensation rights of said persons.

19. List Corporate commercial activity (fields of activity resulting in income) Prime:

20. List all other supplementary fields of activity in which this Corporation is engaged, either directly, through subsidiaries, or affiliates, stating the name(s) and state(s) of incorporation of such subsidiaries or affiliates.

21. Has this Corporation at any time been the subject of any proceeding under the provisions of any State Insolvency Law, or the Federal Bankruptcy Act, As Amended? If so, supply the following information as to each such proceeding:

(A) Date (*Commencement*) _____

(B) Date (*Termination*) _____

(C) Discharge or other disposition, if any, and operative effect thereof: _____

(D) State Court _____ County _____ Federal Court _____ District _____

(E) Docket No. _____

22. (A) List all Real Estate, and Personalty of an estimated value in excess of \$500.00, owned or under contract to be purchased by this Corporation and where located:

(B) List and describe all judgments, recorded and unrecorded:

(1) Against the Corporation

(2) In favor of the Corporation

(C) List and describe all other encumbrances against Real Estate owned by the Corporation: (including but not limited to mortgages, recorded or unrecorded):

(D) List and describe all other encumbrances (including but not limited to Security Interest, whether perfected or not) against any such personalty owned by the Corporation as is listed in 22(A) above.

(E) List and describe location of Real Estate, including Real Estate being purchased under contract, with name and address of Seller and contract price:

23. List all Life insurance, now in force on any or all officers, Directors, and/or "key" employees, setting forth face amounts, names of life insurance companies and policy numbers where this Corporation has an "insurable interest," and/or is paying the premium or part of same. Where applicable indicate under which policy(s) this Corporation is a Beneficiary, type policy(s), yearly premium, and location of policy(s).

24. List all transfers of any or all assets (*Real*) or/and (*Personal*) and each (*over \$300.00*) made by this Corporation, **OTHER THAN IN THE ORDINARY COURSE OF BUSINESS**, during the last three (3) calendar years and state to whom transfer was made. Describe compensation paid by recipient and to whom.

25. Is this Corporation a party in any law suit now pending? () Yes (*Give details below.*) () No

26. Please list names and addresses of any person or other business entity, holding funds in escrow or in trust for this Corporation, or any of its subsidiaries or affiliates.

27. Additional Remarks:

28. Verification and Affidavit.

With knowledge of the penalties for false statements provided by 18 U.S. Cc de 1001 (*\$10,000 fine and/or 5 years imprisonment*) and with knowledge that this financial statement is submitted by me as a responsible officer of this Corporation to affect action by the U.S. Department of Justice, I hereby certify that I believe I completely understand the above statement, and that the same is a true and complete statement of all corporate income and assets, real and personal, whether held in the Corporate name or otherwise.

Date: _____

AFFIANT (Officer)

(List Corporate Position)

Age (Next Birthday)

NOTARY PUBLIC

My Commission Expires _____

FINANCIAL DATA REQUEST FORM

This form requests information regarding your financial status. The data will be used to evaluate your ability to pay for environmental clean-up or penalties. If there is not enough space for your answers, please use additional sheets of paper. Note that we may request further documentation of any of your responses. We welcome any other information you wish to provide supporting your case, particularly if you feel your situation is not adequately described through the information requested here.

Note: If you are married, information about both your and your spouse's finances must be provided. If you believe any income, expenses, assets, and/or liabilities are strictly attributable to your spouse, please indicate by marking an "S" beside the appropriate figure.

Name:	John T. Sweeney
Spouse's Name:	
Address:	
County of Residence:	

PART I. BACKGROUND INFORMATION

1. MEMBERS OF HOUSEHOLD (List the head of the household and all persons living with you)			
Name	Age	Relationship to Head of Household	Currently Employed?

2. EMPLOYMENT (List all jobs held by persons in household)			
Name	Employer	Years Employed	Annual Salary

3. INCOME (List all income earned by persons in household. If members of the household other than the applicant and spouse earn income, please itemize on separate page.)

Source	Gross (Pre-Tax)		Period of Payment (check one)			
	Applicant	Spouse	Weekly	Monthly	Quarterly	Yearly
Wages/Salaries						
Sales Commissions						
Investment Income (interest, dividends, capital gains, etc.)						
Net Business Income						
Rental Income						
Retirement Income (Pension, Social Security, etc.)						
Child Support						
Alimony						
Other Income (please itemize)						

PART II. CURRENT LIVING EXPENSES

Please list personal living expenses which were typical during the last year and indicate if any of these values are likely to change significantly in the current year. Please do not include business expenses. If you are the owner of an operating business, please attachment any available financial statements.

Expense	Amount	Period of Payment (check one)				For Agency Use Only
		Weekly	Monthly	Quarterly	Yearly	
A. Living Expenses						
1. Rent						
2. Home maintenance						
3. Auto fuel maint./other transp.						
4. Utilities						
a. Fuel (gas,oil,wood,propane)						
b. Electric						
c. Water/sewer						
d. Telephone						
5. Food						
6. Clothing, personal care						
7. Medical costs						
B. Debt Payments						
1. Mortgage payments						
2. Car payments						
3. Credit card payments						
4. Educational loan payments						
C. Insurance						
1. Household insurance						
2. Life insurance						
3. Automobile insurance						
4. Medical insurance						
D. Taxes						
1. Property taxes						
2. Federal income taxes						
3. State income taxes						
4. FICA						
E. Other Expenses						
1. Childcare						
2. Current School tuition/expenses						
3. Legal or professional services						
4. Other (itemize on separate page)						
Total Current Expenses						

PART III. NET WORTH

Please provide the following information to the best of your ability. Data should be as current as possible. Estimates are acceptable; if you wish note such items with an "E". If you are the sole proprietor of a business, please list business assets and liabilities, in addition to personal assets and liabilities. Please mark these entries with a "B" to identify them as business assets and liabilities.

1. BANK ACCOUNTS (Checking, NOW, Savings, Money Market, CDs etc.)		
Name of Bank or Credit Union	Type of Account	Current Balance
For Agency Use Only - Total Current Balance in Bank Accounts		

2. INVESTMENTS (Stock, Bonds, Mutual Funds, Options, Futures, Real Estate Investment Trusts (REIT), etc.)		
Investment	Number of Shares or Units	Current Market Value
For Agency Use Only - Total Current Market Value of Investments		

3. RETIREMENT FUNDS AND ACCOUNTS (IRA, 401(k), Keogh, vested interest in company retirement fund, etc.)	
Description of Account	Estimated Market Value
For Agency Use Only - Total Estimated Market Value of Retirement Funds and Accounts	

4. LIFE INSURANCE POLICIES (Whole Life, Universal Life, etc.)
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Policy Holder	Issuing Company	Policy Value	Cash Value
For Agency Use Only - Total Value of Life Insurance Policies			

5a. VEHICLES USED FOR COMMUTING PURPOSES (Cars, Trucks, Motorcycles, etc. Only list up to two vehicles used for commuting purposes.)		
Model	Year	Estimated Market Value
For Agency Use Only - Total Estimated Market Value of Vehicles		

5b. OTHER VEHICLES (Cars, Trucks, Motorcycles, Recreational Vehicles, Motor Homes, Boats, Airplanes etc.)		
Model	Year	Estimated Market Value
For Agency Use Only - Total Estimated Market Value of Vehicles		

6. PERSONAL PROPERTY (Household Goods and Furniture, Jewelry, Art, Antiques, Collections, Precious Metals, etc. Only list items with a value greater than \$500.00)	
Type of Property	Estimated Market Value
For Agency Use Only - Total Estimated Market Value of Personal Property	

7a. REAL ESTATE — PRIMARY RESIDENCE (Home — List only one such residence.)

Location	Description of Property	Estimated Market Value
For Agency Use Only - Total Estimated Market Value of Real Estate		

7b. OTHER REAL ESTATE (Land, Buildings, Land with Buildings)		
Location	Description of Property	Estimated Market Value
For Agency Use Only - Total Estimated Market Value of Real Estate		

8. OTHER ASSETS	
Type of Asset	Estimated Market Value
For Agency Use Only - Total Other Assets	

9. CREDIT CARDS AND LINES OF CREDIT		
Credit Card/Line of Credit (Type)	Owed To	Balance Due
For Agency Use Only - Total Balance Due on Credit Cards and Lines of Credit		

10. VEHICLE LOANS (Cars, Trucks, Motorcycles, Recreation Vehicles, Motor Homes, Boats, Airplanes, etc.)				
Vehicle (Model and Year)	Owed To	Balance Due	Start Date	End Date
For Agency Use Only - Total Balance Due on Vehicle Loans				

11. FURNITURE AND HOUSEHOLD GOODS LOANS:				
List Item	Owed To	Balance Due	Start Date	End Date
For Agency Use Only - Total Balance Due on Furniture and Household Goods Loans				

12. MORTGAGES AND REAL ESTATE LOANS					
Type of Loan	Owed To	Property Secured Against	Balance Due	Start Date	End Date
For Agency Use Only - Total Balance Due on Mortgages and Real Estate Loans					

13. OTHER DEBT (Amounts due to individuals, Fixed obligations, Taxes Owed, Overdue Alimony or Child Support, etc.)				
Type of Debt	Owed To	Balance Due	Start Date	End Date
For Agency Use Only - Total Balance Due on Other Debt				

PART IV. ADDITIONAL INFORMATION

Please respond to the following questions. For any question that you answer "Yes," please provide additional information on separate pages or at the bottom of this page.

QUESTION	YES	NO
1. Do you have any reason to believe that your financial situation will change during the next year?		
2. Are you currently selling or purchasing any real estate?		
3. Is anyone (or any entity) holding real or personal property on your behalf (e.g. a trust)?		
4. Are you a party in any pending lawsuit?		
5. Have any of your belongings been repossessed in the last three years?		
6. Are you a Trustee, Executor, or Administrator?		
7. Are you a participant or beneficiary of an estate or profit sharing plan?		
8. Have you declared bankruptcy in the last seven years?		
9. Do you receive any type of federal aid or public assistance?		

CERTIFICATION OF ANSWERS TO REQUEST FOR INFORMATION

State of _____

County of _____:

I certify under penalty of law that I have personally examined and am familiar with the information submitted in this Financial Data Request Form in response to EPA's Request for Information and all documents submitted herewith, and that based on my inquiry of those individuals immediately responsible for obtaining the information, I believe that the submitted information is true, accurate, and complete, and that all documents submitted herewith are complete and authentic unless otherwise indicated. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment. I am also aware that I am under a continuing obligation to supplement my response to EPA's Request for Information if any additional information relevant to the matters addressed in EPA's Request for Information or my response thereto should become known or available to me.

NAME (print or type)

TITLE (print or type)

SIGNATURE

Sworn to before me this
day of _____, 2000

Notary Public